

RECEIVED
CENTRAL FAX CENTER

DEC 07 2006



**HAMRE, SCHUMANN,
MUELLER & LARSON, P.C.**

AN INTERNATIONAL INTELLECTUAL PROPERTY LAW FIRM

FAX TRANSMISSION December 7, 2006

TO:
Examiner: Unknown
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

FROM: Douglas P. Mueller

OUR REF: 20039.0010USD1

TELEPHONE: (612) 455.3800

Total pages, including cover letter: 7


PTO FAX NUMBER: 571.273.8300

If all pages are NOT received, please call us at 612.455.3800 or fax us at 612.455.3801.

Title of Document: **PTO/SB/80,
Statement Under 37 CFR 3.73(b),
Notice of Recordation of Assignment and
Certificate of Membership – (name change documentation)**

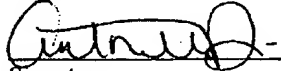
Applicant: SHIMIZU et al.
Patent No.: 7,070,805
Issue Date: July 4, 2006

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

By: 
Name: Douglas P. Mueller
Reg. No. 30,300

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Antonette C. Peters


Signature

Dec 7 2006
Date

225 SOUTH SIXTH STREET • SUITE 2650 • MINNEAPOLIS • MN 55402
TEL 612.455.3800 • FAX 612.455.3801
WWW.HSML.COM • MAIL@HSML.COM

BEST AVAILABLE COPY

RECEIVED
CENTRAL FAX CENTER

DEC 07 2006

PTO/SB/96 (09-06)
Approved for use through 03/31/2007. OMB 0851-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: TAKEDA PHARMACEUTICAL COMPANY LIMITED

Application No./Patent No.: 7,070,805 Filed/Issue Date: July 4, 2006

Entitled: RAPIDLY DISINTEGRABLE SOLID PREPARATION

TAKEDA PHARMACEUTICAL COMPANY LIMITED, a CORPORATION

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: INVENTORS To: TAKEDA CHEMICAL INDUSTRIES LTD.

The document was recorded in the United States Patent and Trademark Office at
Reel 010459, Frame 0040, or for which a copy thereof is attached.

2. From: TAKEDA CHEMICAL INDUSTRIES LTD. To: TAKEDA PHARMACEUTICAL COMPANY LIMITED

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

DOUGLAS P. MUELLER

Printed or Typed Name

ATTORNEY FOR APPLICANT

Title

DECEMBER 7, 2006

Date

612.455.3804

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

DEC 07 2006



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



MARCH 20, 2000

PTAS

TAKEDA CHEMICAL INDUSTRIES, LTD.
PHILIPPE Y. RIESEN
INTELLECTUAL PROPERTY DEPARTMENT
17-85, JUSOHONMACHI 2-CHOME, YODOGAWA-KU
OSAKA, JAPAN 532-8686

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/20/1999

REEL/FRAME: 010459/0640
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
SHIMIZU, TOSHIHIRO

DOC DATE: 10/08/1999

ASSIGNOR:
SUGAYA, MASAE

DOC DATE: 10/08/1999

ASSIGNOR:
NAKANO, YOSHINORI

DOC DATE: 10/08/1999

ASSIGNEE:
TAKEDA CHEMICAL INDUSTRIES, LTD.
1-1, DOSHOMACHI 4-CHOME, CHUO-KU
OSAKA, JAPAN 540-8645

SERIAL NUMBER: 09403429
PATENT NUMBER:

FILING DATE:
ISSUE DATE:



010459/0640 PAGE 2

ANNE HARRELL, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



THE OSAKA CHAMBER OF COMMERCE & INDUSTRY

2-8 HONMACHIBASHI, CHUO-KU, OSAKA 540-0029, JAPAN.
FAX: (06) 6944-6248 TEL: (06) 6944-8899/6411
URL: <http://www.osaka-cci.or.jp/>

October 13, 2004

To whom it may concern:

CERTIFICATE OF MEMBERSHIP

This is to certify that the undermentioned company is registered as a member of this Chamber.

Company name: Takeda Pharmaceutical Company Limited

(The former company name in English was
Takeda Chemical Industries, Ltd.
until June 29, 2004.)

Address: 1-1, Doshomachi 4-chome, Chuo-ku, Osaka, Japan

Membership Number: KT-01-00080

The Osaka Chamber of Commerce & Industry



YK

Yoshinobu Kobayashi
Authorized Signatory

A 1207876

PTO/SB/80

POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:

☒ The practitioners associated with the Customer Number: 52835

as attorneys or agents to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with patent applications identified below:

<u>Serial Number</u>	<u>Filing Date</u>	<u>Inventor(s)</u>	<u>Docket No.</u>
10/017,755	October 30, 2001	Shimizu et al.	20039.0009USC1
09/403,429	October 20, 1999	Shimizu et al.	20039.0010USWO
11/403,799	April 13, 2006	Shimizu et al.	20039.0010USC1
11/149,903	June 10, 2005	Fujishima et al.	04164.0007USC3
10/344,805	February 14, 2003	Doen et al.	20098.0001USWO
10/477,478	November 12, 2003	Yamamoto et al.	08279.1181USWO

<u>Patent Number</u>	<u>Issued Date</u>	<u>Inventor(s)</u>	<u>Docket No.</u>
6,328,994	December 11, 2001	Shimizu et al.	20039.0009USWO
7,070,805	July 4, 2006	Shimizu et al.	20039.0010USD1
6,462,058	October 8, 2002	Fujishima et al.	04164.0007USWO
6,664,276	December 16, 2003	Fujishima et al.	04164.0007USC1
6,939,971	September 6, 2005	Fujishima et al.	04164.0007USC2

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:

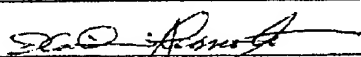
☒ The address associated with Customer Number: 52835

Assignee: Takeda Pharmaceutical Company Limited is a corporation organized and existing under and by virtue of the laws of the Japan, and having an office and place of business at:

1-1, Doshomachi 4-chome, Chuo-ku,
Osaka-shi, Osaka 541-0045 Japan

Signature of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee.

Signature		Date:	November 22, 2006
Name	Hiroshi AKIMOTO, Ph.D.		
Title	Managing Director, Member of the Board		

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☒ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.